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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Birkholz, et al. : Date: January 26, 2005
Group Art Unit: 2122 : IBM Corporation
Examiner: Nguyen : Intellectual Property Law
Serial No.: 09/912,975 : Dept. 917, Bldg. 006-1
Filed: July 25, 2001 : 3605 Highway 52 North
Title: METHOD AND APPARATUS : Rochester, MN 55901
FOR AUTOMATING SOFTWARE
UPGRADES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Serial No. 09/912,975
Docket No. ROC920010108US1

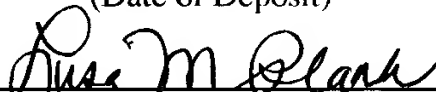
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Lisa M. Plank

Respectfully submitted,

BIRKHOLZ, ET AL.

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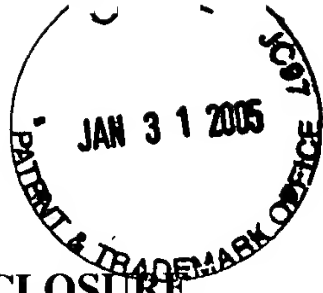
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1



Application Number.: 09/912,975
 Filing Date: July 25, 2001
 First Named Inventor: Birkholz
 Art Unit: 2122
 Examiner Name: Nguyen
 Attorney Docket Number.: ROC920010108US1

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| Examiner Initials* | Cite No. ¹ | Document Number Number - Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns or Lines Where Relevant Passages or Figs. Appear |
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